Version: 6.0

## User Selection Criteria

Location: Region 02, all activities.

Handler ID: NYD002014595

Evaluation Date Range: From: 10/01/1990 To: 05/22/2019

Federal Facilities: No, Show All Facilities
Only Evals with Violations: No, Show All Evaluations

Extract Flag: Include All Sites

Display Code Description:

Display Universes:

Yes, Display Universe Information

Sort Order:

Region, State, Handler Name

## Report Results

Number of Handlers: 1 Number of Pages: 11

## **Report Description**

This report provides a complete listing of evaluation, violation and enforcement activities for each handler, including all orphan records. Below the handler id information, the data is presented in three sections; evaluations, violations and enforcements. Notes are provided in each respective section.

Last Updated: April 2019

| NEPERA, DIVISI  | ON RUTHER                      | FORD CHEMICAL  | S LL    | Count   | y Name / Code            | : ORAN    | IGE / NY071  |                               |           | NYD00201  | 4595  |
|---|--------------------------------|--|---------|---|--------------------------|-----------|--|-------------------------------|-----------|---|-------|
| Location: ARDEN HC  | OUSE RD; HARR                  | IMAN, NY 10926   |         |   |                          |           |  |                               |           | REGIO   | ON 02 |
| Mailing: ARDEN HC   | OUSE ROAD; HA                  | RRIMAN, NY 10926   |         |   |                          |           |  |                               |           |   |       |
| Activity Location: N  | IY Sta                         | ate District: NYSDEC I   | ₹3      | Accessibility:  |                          | N         | on-Notifier:   | Extract Fla                   | ag: Y     | Active Site                                       | e: Y  |
| Short-Term Gen: N   | -S                             | Operating TSDF: Transporter: N Transfer Facility: N Converter: State TSDF: |         | Offsite Receiver:<br>IC In Place:<br>EI Indicator (HE<br>HSM:<br>Subpart K: | Y                        |           | State Unaddressed<br>State Addressed S<br>State SNC w/Comp     | NC: N                         | EPA Addre | dressed SNC: N<br>essed SNC: N<br>w/Comp Sched: N |       |
| FRR Evaluation<br>NOC Date:<br>Eval. Notes: TSDF                  | 06/23/2005                     | Activity Location:<br>Citizen Complaint:                                   |         | By: STATE Multimedia Inspection: NO   | Identifier:<br>Sampling: | 001<br>NO | Person: NYAPR Not Subtitle C: NO                               | Suborganization:<br>Day Zero: | СО        | Found Violation:<br>Focus Area:                   | YES   |
| Former Ci   | tation - SR - 373-             | Compliance Date:   |         | Determined Dat<br>Actual Compliance Dat<br>ceptable.                        |                          | Det       | ermined by Agency: S<br>RTC Qualifier: D                       |                               | •         | le Agency: STATE<br>ce Number: 28                 |       |
| Enforcement:  | Activity Loc<br>Do<br>CA Compo | ocket:   | quireme | Agenc   | e: 120<br>y: STATE<br>s: | I         | Action Date: 06<br>Responsible Person: N'<br>Appeal Initiated: |                               | Appea     | Identifier: 001<br>Branch: CO<br>I Resolved:      |       |
| SNN Evaluation  NOC Date: Eval. Notes: Air perr  No Linked Viola  |                                | Activity Location:<br>Citizen Complaint:<br>rected. CO 320040816           | NO      | By: STATE Multimedia Inspection: NO   | Identifier:<br>Sampling: | 001<br>NO | Person: NYTLR<br>Not Subtitle C: NO                            | Suborganization:<br>Day Zero: | СО        | Found Violation:<br>Focus Area:                   | NO    |
| SNY Evaluation  NOC Date:  Eval. Notes: tsdf - A  No Linked Viola |                                | Activity Location:<br>Citizen Complaint:<br>ns. CO 32004081611             |         | By: STATE Multimedia Inspection: NO   | Identifier:<br>Sampling: | 001<br>NO | Person: NYTLR<br>Not Subtitle C: NO                            | Suborganization:<br>Day Zero: | СО        | Found Violation:<br>Focus Area:                   | NO    |
| CEI Evaluation  NOC Date: Eval. Notes: tsdf                       | 03/11/2004                     | Activity Location:<br>Citizen Complaint:                                   |         | By: STATE Multimedia Inspection: NO   | Identifier:<br>Sampling: | 001<br>NO | Person: NYPAN Not Subtitle C: NO                               | Suborganization:<br>Day Zero: | CO        | Found Violation:<br>Focus Area:                   | YES   |

| NEPERA, DIVISION RUTHERFORD CHEMICALS LL, NYI                             | D002014595, HARRIMAN, NY, 0             | continued -                        |                           |
|---|---|------------------------------------|---------------------------|
| Violation: Activity Location: NY Type: 264.0                              | Determined Date:03/11/2004              | Determined by Agency: STATE        | Responsible Agency: STATE |
| Scheduled Compliance Date:  | Actual Compliance Date: 04/16/2004      | RTC Qualifier: DOCUMENTED          | Sequence Number: 26       |
| Former Citation - PC - Module VIII Cond. E(3)                             |   |                                    |                           |
| Viol. Notes: Failure to submit the annual Performance Specific            |   |                                    |                           |
| Enforcement: Activity Location: NY  | Type: 310                               | Action Date: 12/03/2004            | Identifier: 001           |
| Docket: 32004081611   | Agency: STATE                           | Responsible Person: NYTLR          | Branch: CO                |
| Penalty Information: Proposed:  | Final Monetary: \$4,498                 | Collected: \$4,498                 | Total Final: \$4,498      |
| CA Component: N FA Requirement:   | Disposition Status:                     | Appeal Initiated:                  | Appeal Resolved:          |
| Enf. Notes: tsdf□   |   |                                    |                           |
| air violations Enforcement: Activity Location: NY                         | Type: 210                               | Action Date: 08/23/2004            | Identifier: 001           |
| Docket: CO32004081611   | Agency: STATE                           | Responsible Person: NYTLR          | Branch: CO                |
| Penalty Information: Proposed: \$4,498                                    | Final Monetary:                         | Collected:                         | Total Final:              |
| CA Component: N FA Requirement:   | Disposition Status:                     | Appeal Initiated:                  | Appeal Resolved:          |
| Enf. Notes: tsdf  | Bioposition States.                     | , appear miliated.                 | Appear resolved.          |
|   | D 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 | D                                  | D 311 A 07ATE             |
| Violation: Activity Location: NY Type: 264.0                              | Determined Date:03/11/2004              | Determined by Agency: STATE        | Responsible Agency: STATE |
| Scheduled Compliance Date: Former Citation - PC - Module VIII Cond.F(b)   | Actual Compliance Date: 04/13/2004      | RTC Qualifier: DOCUMENTED          | Sequence Number: 27       |
| Viol. Notes: The facility failed to report Continuous Emission N          | lonitors calibration failure            |                                    |                           |
| Enforcement: Activity Location: NY  | Type: 310                               | Action Date: 12/03/2004            | Identifier: 001           |
| Docket: 32004081611   | Agency: STATE                           | Responsible Person: NYTLR          | Branch: CO                |
| Penalty Information: Proposed:  | Final Monetary: \$4,498                 | Collected: \$4,498                 | Total Final: \$4,498      |
| CA Component: N FA Requirement:   | Disposition Status:                     | Appeal Initiated:                  | Appeal Resolved:          |
| Enf. Notes: tsdf  | Bioposition status.                     | Appear miliated.                   | Appear Nesolveu.          |
| air violations  |   |                                    |                           |
| Enforcement: Activity Location: NY  | Type: 210                               | Action Date: 08/23/2004            | Identifier: 001           |
| Docket: CO32004081611   | Agency: STATE                           | Responsible Person: NYTLR          | Branch: CO                |
| Penalty Information: Proposed: \$4,498                                    | Final Monetary:                         | Collected:                         | Total Final:              |
| CA Component: N FA Requirement:   | Disposition Status:                     | Appeal Initiated:                  | Appeal Resolved:          |
| Enf. Notes: tsdf  |   |                                    |                           |
| OFI Fuelveties OFIO010000 Astribut sections ANY Day                       | EDA Hardistan                           | OOA Darrage DOMMI Outcomedication  | DOD Farmed Violations NO  |
| CEI Evaluation 05/20/2003 Activity Location: NY By:                       | EPA Identifier:                         | 001 Person: R2MML Suborganization  |                           |
|   | imedia Inspection: NO Sampling:         | NO Not Subtitle C: NO Day Zero:    | Focus Area:               |
| Eval. Notes: Incinerator covered only, joint inspection w/Don Wright of D | ESA                                     |                                    |                           |
| No Linked Violations  |   |                                    |                           |
| SNY Evaluation 11/21/2002 Activity Location: NY By:                       | STATE Identifier:                       | 001 Person: NYAPR Suborganization  | : CO Found Violation: NO  |
| ,   | imedia Inspection: NO Sampling:         | NO Not Subtitle C: NO Day Zero:    | Focus Area:               |
| Eval. Notes: RCRA violations - CO 3200110182591                           | inicala mapoction. NO Samping.          | 140 1401 Oublille O. 140 Day 2610. | i ocus Alea.              |
| No Linked Violations  |   |                                    |                           |
| INO LITINGU VIOIALIOITS   |   |                                    |                           |

|  |   |  |  | NYD00201459   |   |   |            |   |  |   |                               |  |
|--|---|--|--|---|---|---|------------|---|--|---|-------------------------------|--|
|  |   | ctivity Location:  | NY   | By:   | STATE   | Identifier:   | 001        | Person:   | NYWBY  | Suborganization:                                      | R3                            | Found Violation:   |
| NOC Date:  | С   | itizen Complaint:  | NO   | Multimedia Inspe  | ction: NO   | Sampling:   | NO         | Not Sub   | itle C: NO   | Day Zero:   |                               | Focus Area:  |
| Notes: tsdf  | " L C NO.   | T 00   |  | Б.,   |   | 00/00/0000  | <b>5</b> . |   |  |   |                               |  |
| Violation: Activ   | rity Location:NY  | Type: 26   | 4.0  |   |   | te:09/26/2002   | Dete       | -   | Agency: S  |   | •                             | ole Agency: STATE  |
| Farman Citatia   | Scheduled Com   | •  |  | Actual Com  | pliance Dat   | e: 05/24/2004   |            | RIC   | Qualifier: Di  | OCUMENTED   | Sequen                        | ce Number: 16  |
|  | on - PC - Module<br>EM calibration fa   |  |  |   |   |   |            |   |  |   |                               |  |
| Enforcement:   | Activity Location   |  |  |   | Tvp   | e: 310  |            | Ac  | tion Date: 05  | 5/24/2004   |                               | Identifier: 001  |
|  | Dock  |  |  |   | , ,   | y: STATE  | F          | Responsibl  | e Person: A  | ΓΥSH  |                               | Branch: CO   |
| Penalty Informati  | ion: Propose  | ed:  |  | Fii   | Ū   | y: \$20,000   |            | . (   | Collected: \$  | 20,000  |                               | Total Final: \$20,0  |
| ,  | CA Compone  |  | Requirem   |   | sition Statu  | •   |            | Appea   | I Initiated:   | •   |                               | I Resolved:  |
| Enforcement:   | Activity Location   |  | 10 9 0 11  |   |   | e: 210  |            | - ' '   | tion Date: 10  | 0/09/2003   | 7,6900                        | Identifier: 001  |
|  | •   | et: 3-20011018-2   | 591  |   | • •   | y: STATE  | F          | Responsibl  | e Person: N  | YAPR  |                               | Branch: CO   |
| Penalty Informati  | ion: Propose  | ed: \$20,000   |  | Fii   | nal Monetar   | y:  |            | . (   | Collected:   |   |                               | Total Final:   |
|  | CA Compone  | nt: N FA F   | Requirem   | ent: Dispo  | sition Statu  | s:  |            | Appea   | I Initiated:   |   | Appea                         | l Resolved:  |
| Enf. Notes: tse  | df. Revised draf  |  | - 1-   | •   |   |   |            | • • •   |  |   |                               |  |
| Enforcement:   | Activity Location   | n: NY  |  |   | Тур   | e: 210  |            | Ac  | tion Date: 08  | 3/20/2003   |                               | Identifier: 001  |
|  |   | et: 3-20011018-2   | 591  |   | J   | y: STATE  | F          | •   | e Person: N  | YAPR  |                               | Branch: CO   |
| Penalty Informati  | ion: Propose  | ed: \$20,000   |  | Fii   | nal Monetar   | y:  |            | (   | Collected:   |   |                               | Total Final:   |
|  | CA Componer   | nt: N FA F   | Requirem   | ent: Dispo  | sition Statu  | s:  |            | Appea   | l Initiated:   |   | Annea                         | l Resolved:  |
|  | •   |  |  |   |   |   |            |   |  |   |                               |  |
|  |   | tinspection of the ment negotiations   |  | vas conducted by D  | epartment   |   | 002 and    | further vio   | lations were   | identified. The Dep                                   |                               | ted these addition   |
| violations in th   |   |  | š.   |   |   |   |            |   | lations were   |   | ot. incorpora                 | ted these addition   |
| violations in th   | ne present settler  | ment negotiations<br>Type: 26  | š.   | Dete  | ermined Da  | staff in Sept. 20   |            | ermined by  | Agency: S  |   | ot. incorpora                 |  |
| violations in the Violation: Active Former Citation  | ne present settler<br>rity Location:NY<br>Scheduled Com<br>on - PC - Module   | ment negotiations Type: 26- apliance Date: VIII,C.F(b)   | 4.0  | Dete<br>Actual Com  | ermined Dat   | staff in Sept. 20<br>te:09/26/2002<br>re: 05/24/2004  |            | ermined by  | Agency: S  | TATE  | ot. incorpora                 | ole Agency: STATE  |
| violations in the Violation: Active Former Citation Viol. Notes: Formal Property Notes: Format Property Notes: Format Property Notes: For | ne present settler<br>rity Location:NY<br>Scheduled Com<br>on - PC - Module<br>ailed to report in   | ment negotiations Type: 26- pliance Date: VIII,C.F(b) the monthly repo   | 4.0  | Dete  | ermined Dat<br>pliance Dat  | staff in Sept. 20<br>te:09/26/2002<br>e:05/24/2004<br>bration.  |            | ermined by<br>RTC   | Agency: ST<br>Qualifier: Do  | TATE<br>OCUMENTED                                     | ot. incorpora                 | ole Agency: STATE<br>ce Number: 17   |
| violations in the Violation: Active Former Citation  | ne present settler<br>rity Location:NY<br>Scheduled Com<br>on - PC - Module<br>ailed to report in<br>Activity Locatio   | Type: 26- npliance Date: VIII,C.F(b) the monthly repo  | 4.0  | Dete<br>Actual Com  | ermined Dat<br>pliance Dat<br>ly CEm cali<br>Typ  | staff in Sept. 20<br>te:09/26/2002<br>e: 05/24/2004<br>bration.<br>e: 310   | Dete       | ermined by<br>RTC   | Agency: S'<br>Qualifier: Do  | TATE<br>OCUMENTED<br>5/24/2004                        | ot. incorpora                 | ole Agency: STATE<br>ce Number: 17<br>Identifier: 001  |
| violations in the Violation: Active Former Citatice Viol. Notes: Free Enforcement:   | ne present settler rity Location:NY Scheduled Com on - PC - Module ailed to report in Activity Locatio Dock   | ment negotiations Type: 26 npliance Date: VIII,C.F(b) the monthly repo on: NY et:  | 4.0  | Dete<br>Actual Com<br>seedance of the dai   | ermined Dat<br>pliance Dat<br>ly CEm cali<br>Typ<br>Agenc   | staff in Sept. 20<br>te:09/26/2002<br>e: 05/24/2004<br>bration.<br>e: 310<br>cy: STATE  | Dete       | ermined by<br>RTC<br>Ac<br>Responsibl   | Agency: S'<br>Qualifier: Do<br>tion Date: 05<br>e Person: A'   | TATE OCUMENTED 5/24/2004 TYSH                         | Responsit<br>Sequend          | ole Agency: STATE<br>ce Number: 17<br>Identifier: 001<br>Branch: CO  |
| violations in the Violation: Active Former Citatice Viol. Notes: Fa  | ne present settler rity Location:NY Scheduled Com on - PC - Module ailed to report in Activity Locatio Dock ion: Propose  | Type: 26- npliance Date: VIII,C.F(b) the monthly repo on: NY et:   | 4.O  | Dete<br>Actual Com<br>seedance of the dai   | ermined Dar<br>pliance Dat<br>ly CEm cali<br>Typ<br>Agenc<br>nal Monetar  | te:09/26/2002<br>te:09/26/2002<br>te:05/24/2004<br>bration.<br>e: 310<br>ty: STATE<br>ty: \$20,000  | Dete       | ermined by<br>RTC<br>Ac<br>Responsibl   | Agency: S' Qualifier: Do tion Date: 05 e Person: A' Collected: \$  | TATE OCUMENTED 5/24/2004 TYSH                         | Responsit<br>Sequence         | ole Agency: STATE<br>ce Number: 17<br>Identifier: 001<br>Branch: CO<br>Total Final: \$20,0   |
| violations in the Violation: Active Former Citatice Viol. Notes: Former Citatice Penalty Information   | ne present settler rity Location:NY Scheduled Com on - PC - Module ailed to report in Activity Locatio Dock ion: Propose CA Componer  | ment negotiations Type: 26 ppliance Date: VIII,C.F(b) the monthly repo on: NY et: ed: nt: N FA I   | 4.0  | Dete<br>Actual Com<br>seedance of the dai   | ermined Dat pliance Dat ly CEm cali Typ Agenc nal Monetar sistion Statu   | staff in Sept. 20<br>te:09/26/2002<br>e: 05/24/2004<br>bration.<br>e: 310<br>cy: STATE<br>y: \$20,000<br>is:                                    | Dete       | AcResponsibl  | Agency: S' Qualifier: Do tion Date: 05 e Person: A' Collected: \$ I Initiated:   | TATE OCUMENTED  5/24/2004 TYSH 20,000                 | Responsit<br>Sequence         | ole Agency: STATE<br>ce Number: 17<br>Identifier: 001<br>Branch: CO<br>Total Final: \$20,0<br>I Resolved:  |
| violations in the Violation: Active Former Citatice Viol. Notes: Free Enforcement:   | ne present settler rity Location:NY Scheduled Com on - PC - Module ailed to report in Activity Locatio Dock ion: Propose CA Componer Activity Locatio   | Type: 26- ppliance Date: VIII,C.F(b) the monthly repo on: NY et: ed: nt: N FA I  | 4.O  rt the exc  | Dete<br>Actual Com<br>seedance of the dai   | ermined Dat<br>pliance Dat<br>ly CEm cali<br>Typ<br>Agenc<br>nal Monetar<br>osition Statu<br>Typ  | staff in Sept. 20<br>te:09/26/2002<br>e: 05/24/2004<br>bration.<br>e: 310<br>cy: STATE<br>y: \$20,000<br>s:<br>e: 210                           | Dete       | AcResponsibl Appea  | v Agency: S <sup>2</sup> Qualifier: Do tion Date: 05 e Person: A <sup>2</sup> Collected: \$ I Initiated: tion Date: 10   | TATE OCUMENTED  5/24/2004 TYSH 20,000                 | Responsit<br>Sequence         | ole Agency: STATE ce Number: 17  Identifier: 001 Branch: CO Total Final: \$20,0 I Resolved: Identifier: 001  |
| violations in the Violation:  Former Citation Viol. Notes: Factor Factor Factor Viol. Notes: Factor Factor Viol. Notes: Factor | rity Location:NY Scheduled Comon - PC - Module alled to report in Activity Location Dock ion: Propose CA Compone Activity Locatio   | Type: 26- Inpliance Date: VIII,C.F(b) Ithe monthly repoon: NY et: ed: Int: N FA I on: NY et: 3-20011018-2  | 4.O  rt the exc  | Dete<br>Actual Com<br>seedance of the dai<br>Fit<br>ent: Dispo                        | ermined Dai<br>pliance Dat<br>ly CEm cali<br>Typ<br>Agenc<br>nal Monetar<br>osition Statu<br>Typ<br>Agenc                                 | te:09/26/2002<br>te:09/26/2004<br>bration.<br>e: 310<br>ty: STATE<br>ty: \$20,000<br>is:<br>e: 210  | Dete       | AcResponsibl  | v Agency: So<br>Qualifier: Do<br>tion Date: 05<br>e Person: A<br>Collected: \$<br>I Initiated:<br>tion Date: 10<br>e Person: N   | TATE OCUMENTED  5/24/2004 TYSH 20,000                 | Responsit<br>Sequend          | ole Agency: STATE ce Number: 17  Identifier: 001 Branch: CO Total Final: \$20,0 I Resolved: Identifier: 001 Branch: CO   |
| violations in the Violation: Active Former Citatice Viol. Notes: Former Citatice Penalty Information   | ne present settler rity Location:NY Scheduled Corr on - PC - Module ailed to report in Activity Locatio Dock ion: Propose CA Componer Activity Locatio Dock ion: Propose ion: Propose   | Type: 26- repliance Date: VIII,C.F(b) the monthly repoon: NY et: ed: nnt: N FA I on: NY et: 3-20011018-2 ed: \$20,000  | 4.0  rt the exc  | Dete<br>Actual Com<br>seedance of the dai<br>Finent: Dispo                            | ermined Dai<br>pliance Dat<br>ly CEm cali<br>Typ<br>Agenc<br>nal Monetar<br>Sition Statu<br>Typ<br>Agenc<br>nal Monetar                   | staff in Sept. 20<br>te:09/26/2002<br>e: 05/24/2004<br>bration.<br>e: 310<br>ey: STATE<br>y: \$20,000<br>ss:<br>e: 210<br>ey: STATE             | Dete       | AcResponsibl  | v Agency: So<br>Qualifier: Do<br>tion Date: 05<br>e Person: A<br>Collected: \$<br>I Initiated:<br>tion Date: 10<br>e Person: N<br>Collected:   | TATE OCUMENTED  5/24/2004 TYSH 20,000                 | Responsit<br>Sequend          | ole Agency: STATE ce Number: 17  Identifier: 001 Branch: CO Total Final: \$20,0 I Resolved: Identifier: 001 Branch: CO Total Final:  |
| violations in the Violation: Active Former Citation Viol. Notes: Fill Enforcement: Penalty Information Pen | rity Location:NY Scheduled Componer - PC - Module ailed to report in Activity Location: Propose CA Componer Activity Location Dock ion: Propose CA Componer CA Componer CA Componer CA Componer CA Componer   | Type: 26 ppliance Date: VIII,C.F(b) the monthly repo on: NY et: ed: nt: N FA I on: NY et: 3-20011018-2 ed: \$20,000 nt: N FA I   | 4.O  rt the exc  | Dete<br>Actual Com<br>seedance of the dai<br>Finent: Dispo                            | ermined Dai<br>pliance Dat<br>ly CEm cali<br>Typ<br>Agenc<br>nal Monetar<br>osition Statu<br>Typ<br>Agenc                                 | staff in Sept. 20<br>te:09/26/2002<br>e: 05/24/2004<br>bration.<br>e: 310<br>ey: STATE<br>y: \$20,000<br>ss:<br>e: 210<br>ey: STATE             | Dete       | AcResponsibl  | v Agency: So<br>Qualifier: Do<br>tion Date: 05<br>e Person: A<br>Collected: \$<br>I Initiated:<br>tion Date: 10<br>e Person: N   | TATE OCUMENTED  5/24/2004 TYSH 20,000                 | Responsit<br>Sequend          | ole Agency: STATE ce Number: 17  Identifier: 001 Branch: CO Total Final: \$20,0 I Resolved: Identifier: 001 Branch: CO   |
| violations in the Violation: Active Former Citation Viol. Notes: File Enforcement: Penalty Information Pen | ne present settler rity Location:NY Scheduled Componer - PC - Module ailed to report in Activity Location: Propose CA Componer Activity Location Dock ion: Propose CA Componer Activity Location CA Componer Activity Location Dock ion: Propose CA Componer CA Componer CA Componer CA Componer CA Componer CA Componer        | Type: 26- Inpliance Date: VIII,C.F(b) Ithe monthly repoon: NY et: ed: Int: N FA I on: NY et: 3-20011018-2 ed: \$20,000 Int: N FA I It Consent Order.   | 4.0  rt the exc  | Dete<br>Actual Com<br>seedance of the dai<br>Finent: Dispo                            | ermined Dai<br>pliance Dat<br>ly CEm cali<br>Typ<br>Agenc<br>nal Monetar<br>Sition Statu<br>Agenc<br>nal Monetar<br>ssition Statu         | te:09/26/2002<br>e: 05/24/2004<br>bration.<br>e: 310<br>ey: STATE<br>yy: \$20,000<br>ss:<br>e: 210<br>ey: STATE                                 | Dete       | AcResponsibl Appea AcResponsibl Appea AcResponsibl                                | v Agency: So<br>Qualifier: Do<br>tion Date: 05<br>e Person: A<br>Collected: \$<br>I Initiated:<br>tion Date: 10<br>e Person: N<br>Collected:<br>I Initiated:   | TATE OCUMENTED  5/24/2004 TYSH 20,000  0/09/2003 YAPR | Responsit<br>Sequend          | Identifier: 001 Branch: CO Total Final: \$20,0 I Resolved: Identifier: 001 Branch: CO Total Final: \$1001 Total Final: Identifier: 001 Total Final: I Resolved:            |
| violations in the Violation: Active Former Citation Viol. Notes: Fill Enforcement: Penalty Information Pen | ne present settler rity Location:NY Scheduled Corr on - PC - Module ailed to report in Activity Locatio Dock ion: Propose CA Componer Activity Locatio Dock ion: Propose CA Componer Activity Locatio Activity Locatio Activity Locatio Activity Locatio Activity Locatio Activity Locatio                                      | Type: 26 ppliance Date: VIII,C.F(b) the monthly repo on: NY et: ed: nt: N FA I on: NY et: 3-20011018-2 ed: \$20,000 nt: N FA I t Consent Order. on: NY   | the exception of the ex | Dete<br>Actual Com<br>seedance of the dai<br>Finent: Dispo                            | ermined Dai<br>pliance Dat<br>ly CEm cali<br>Typ<br>Agenc<br>nal Monetar<br>Sition Statu<br>Typ<br>Agenc<br>nal Monetar<br>sition Statu   | staff in Sept. 20<br>te:09/26/2002<br>e: 05/24/2004<br>bration.<br>e: 310<br>ey: STATE<br>yy: \$20,000<br>ss:<br>e: 210<br>ey: STATE<br>yy: ss: | Dete       | AcResponsible Appea AcResponsible Appea AcResponsible Appea AcAcResponsible Appea | v Agency: So<br>Qualifier: Do<br>tion Date: 05<br>e Person: A<br>Collected: \$<br>I Initiated:<br>tion Date: 10<br>e Person: N<br>Collected:<br>I Initiated:   | TATE OCUMENTED  5/24/2004 TYSH 20,000  0/09/2003 YAPR | Responsit<br>Sequend          | Identifier: 001 Branch: CO Total Final: \$20,0 I Resolved: Identifier: 001 Branch: CO Total Final: \$1 Identifier: 001 Branch: CO Total Final: I Resolved: Identifier: 001 |
| violations in the Violation: Active Former Citation Viol. Notes: File Enforcement: Penalty Information Pen | ne present settler rity Location:NY Scheduled Corr on - PC - Module ailed to report in Activity Locatio Dock ion: Propose CA Componer Dock df. Revised draff Activity Locatio Dock | Type: 26 appliance Date: VIII,C.F(b) the monthly repo on: NY et: ed: nt: N FA I on: NY et: 3-20011018-2 ed: \$20,000 nt: N FA I t Consent Order. on: NY et: 3-20011018-2   | the exception of the ex | Dete<br>Actual Com<br>seedance of the dai<br>Fin<br>ent: Dispon<br>Fin<br>ent: Dispon | ermined Dai<br>pliance Dat<br>ly CEm cali<br>Typ<br>Agenc<br>nal Monetar<br>esition Statu<br>Typ<br>Agenc<br>nal Monetar<br>esition Statu | staff in Sept. 20 te:09/26/2002 e: 05/24/2004 bration. e: 310 ey: STATE y: \$20,000 s: e: 210 ey: STATE y: s:                                   | Dete       | AcResponsibl Appea AcResponsibl Appea AcResponsibl                                | v Agency: S <sup>2</sup> Qualifier: Do tion Date: 05 e Person: A <sup>2</sup> Collected: \$ I Initiated: tion Date: 10 e Person: N <sup>2</sup> Collected: I Initiated: tion Date: 05 e Person: N <sup>2</sup> | TATE OCUMENTED  5/24/2004 TYSH 20,000  0/09/2003 YAPR | Responsit<br>Sequend<br>Appea | Identifier: 001 Branch: CO Total Final: \$20,0 I Resolved: Identifier: 001 Branch: CO Total Final: I Resolved: I Resolved: I Resolved: I Resolved: I Resolved: I Resolved: |
| violations in the Violation: Active Former Citation Viol. Notes: File Enforcement: Penalty Information Pen | ne present settler rity Location:NY Scheduled Corr on - PC - Module ailed to report in Activity Locatio Dock ion: Propose CA Componer Dock df. Revised draff Activity Locatio Dock | rype: 26 rype: 27 rype: 26 rype: 27 rype: 26 rype: 27 ryp | the exception of the ex | Dete Actual Com seedance of the dai  Fit sent: Dispo                                  | ermined Dai<br>pliance Dat<br>ly CEm cali<br>Typ<br>Agenc<br>nal Monetar<br>Sition Statu<br>Typ<br>Agenc<br>nal Monetar<br>sition Statu   | staff in Sept. 20 te:09/26/2002 e: 05/24/2004 bration. e: 310 ey: STATE yy: \$20,000 es: e: 210 ey: STATE yy: ss: e: 210 ey: STATE              | Dete       | AcResponsibl Appea AcResponsibl Appea AcResponsibl                                | v Agency: So<br>Qualifier: Do<br>tion Date: 05<br>e Person: A<br>Collected: \$<br>I Initiated:<br>tion Date: 10<br>e Person: N<br>Collected:<br>I Initiated:   | TATE OCUMENTED  5/24/2004 TYSH 20,000  0/09/2003 YAPR | Responsit<br>Sequend<br>Appea | Identifier: 001 Branch: CO Total Final: \$20,0 I Resolved: Identifier: 001 Branch: CO Total Final: \$1 Identifier: 001 Branch: CO Total Final: I Resolved: Identifier: 001 |

| Violation: Activity I  | _ocation:NY  | Type: 264.I   | Determined Date:09/26/2002   | Determined by Agency: STATE  | Responsible Agency: STATE  |
|--|--|---|--|--|--|
| Sc   | heduled Compliance   | Date:   | Actual Compliance Date: 05/24/2004   | RTC Qualifier: DOCUMENTED  | Sequence Number: 18  |
| Former Citation -  | SR - Module VI C./37   | '3-3.9(b)   | ·  |  | ·  |
|  |  | ers in good condition.  |  |  |  |
|  | ctivity Location: NY   |   | Type: 310  | Action Date: 05/24/2004  | Identifier: 001  |
|  | Docket:  |   | Agency: STATE  | Responsible Person: ATYSH  | Branch: CO   |
| Penalty Information:   | Proposed:  |   | Final Monetary: \$20,000   | Collected: \$20,000  | Total Final: \$20,00   |
| (  | CA Component: N  | FA Requirement:   | Disposition Status:  | Appeal Initiated:  | Appeal Resolved:   |
| Enforcement: A   | ctivity Location: NY   |   | Type: 210  | Action Date: 10/09/2003  | Identifier: 001  |
|  | Docket: 3-200  | 11018-2591  | Agency: STATE  | Responsible Person: NYAPR  | Branch: CO   |
| Penalty Information:   | Proposed: \$20,0   | 000   | Final Monetary:  | Collected:   | Total Final:   |
|  | CA Component: N<br>Revised draft Consen  | FA Requirement:   | Disposition Status:  | Appeal Initiated:  | Appeal Resolved:   |
|  | ctivity Location: NY   | it Older.   | Type: 210  | Action Date: 08/20/2003  | Identifier: 001  |
|  | Docket: 3-200  | 11018-2591  | Agency: STATE  | Responsible Person: NYAPR  | Branch: CO   |
| Penalty Information:   | Proposed: \$20,0   | 000   | Final Monetary:  | Collected:   | Total Final:   |
|  | CA Component: N  | FA Requirement:   | Disposition Status:  | Appeal Initiated:  | Appeal Resolved:   |
|  |  |   | inducted by Department staff in Sept. 20   | 02 and further violations were identified. The D   | ept. incorporated these additiona  |
| violations in the p  | resent settlement neg  | gotiations.   | <u> </u>   |  | · · ·  |
| violations in the p Violation: Activity I  | resent settlement neg  | gotiations. Type: 264.J   | Determined Date:09/26/2002   | Determined by Agency: STATE  | Responsible Agency: STATE  |
| violations in the p Violation: Activity I  | resent settlement neg<br>Location:NY<br>heduled Compliance   | gotiations. Type: 264.J   | <u> </u>   |  | · · ·  |
| violations in the p Violation: Activity I Sc Former Citation -   | resent settlement neg  | gotiations.  Type: 264.J  Date:   | Determined Date:09/26/2002   | Determined by Agency: STATE  | Responsible Agency: STATE  |
| violations in the p Violation: Activity I Sc Former Citation - Viol. Notes: Lack   | Location: NY heduled Compliance   SR - 373-3.10(c)(1)  | gotiations.  Type: 264.J  Date:   | Determined Date:09/26/2002   | Determined by Agency: STATE  | Responsible Agency: STATE  |
| violations in the p Violation: Activity I Sc Former Citation - Viol. Notes: Lack   | Location: NY heduled Compliance   SR - 373-3.10(c)(1) of PE certification and  | gotiations.  Type: 264.J  Date:   | Determined Date:09/26/2002<br>Actual Compliance Date: 05/24/2004   | Determined by Agency: STATE<br>RTC Qualifier: DOCUMENTED   | Responsible Agency: STATE<br>Sequence Number: 19   |
| violations in the p Violation: Activity I Sc Former Citation - Viol. Notes: Lack   | Location: NY heduled Compliance   SR - 373-3.10(c)(1) of PE certification and ctivity Location: NY Docket:           | gotiations.  Type: 264.J  Date:   | Determined Date:09/26/2002<br>Actual Compliance Date: 05/24/2004   | Determined by Agency: STATE RTC Qualifier: DOCUMENTED  Action Date: 05/24/2004   | Responsible Agency: STATE<br>Sequence Number: 19<br>Identifier: 001<br>Branch: CO  |
| violations in the p Violation: Activity I Sc Former Citation - Viol. Notes: Lack Enforcement: A Penalty Information:   | Location: NY heduled Compliance   SR - 373-3.10(c)(1) of PE certification and ctivity Location: NY Docket:           | gotiations.  Type: 264.J  Date:   | Determined Date:09/26/2002 Actual Compliance Date: 05/24/2004  Type: 310 Agency: STATE   | Determined by Agency: STATE RTC Qualifier: DOCUMENTED  Action Date: 05/24/2004 Responsible Person: ATYSH   | Responsible Agency: STATE<br>Sequence Number: 19<br>Identifier: 001<br>Branch: CO  |
| violations in the p  Violation: Activity I  So  Former Citation -  Viol. Notes: Lack  Enforcement: A  Penalty Information:   | Location: NY heduled Compliance   SR - 373-3.10(c)(1) of PE certification and ctivity Location: NY Docket: Proposed: | gotiations. Type: 264.J Date: d assessment.   | Determined Date:09/26/2002 Actual Compliance Date: 05/24/2004  Type: 310 Agency: STATE Final Monetary: \$20,000  | Determined by Agency: STATE RTC Qualifier: DOCUMENTED  Action Date: 05/24/2004 Responsible Person: ATYSH Collected: \$20,000   | Responsible Agency: STATE<br>Sequence Number: 19<br>Identifier: 001<br>Branch: CO<br>Total Final: \$20,00  |
| violations in the p  Violation: Activity I  So  Former Citation -  Viol. Notes: Lack  Enforcement: A  Penalty Information:   | Location: NY heduled Compliance   SR - 373-3.10(c)(1) of PE certification and ctivity Location: NY                   | Type: 264.J Date: d assessment.  FA Requirement:  | Determined Date:09/26/2002 Actual Compliance Date: 05/24/2004  Type: 310 Agency: STATE Final Monetary: \$20,000 Disposition Status:  | Determined by Agency: STATE RTC Qualifier: DOCUMENTED  Action Date: 05/24/2004 Responsible Person: ATYSH Collected: \$20,000 Appeal Initiated:   | Responsible Agency: STATE Sequence Number: 19  Identifier: 001 Branch: CO Total Final: \$20,00 Appeal Resolved:  |
| violations in the p  Violation: Activity I  So  Former Citation -  Viol. Notes: Lack  Enforcement: A  Penalty Information:   | Location: NY heduled Compliance   SR - 373-3.10(c)(1) of PE certification and ctivity Location: NY                   | Type: 264.J Date: d assessment.  FA Requirement:  | Determined Date:09/26/2002 Actual Compliance Date: 05/24/2004  Type: 310 Agency: STATE Final Monetary: \$20,000 Disposition Status: Type: 210  | Determined by Agency: STATE RTC Qualifier: DOCUMENTED  Action Date: 05/24/2004 Responsible Person: ATYSH Collected: \$20,000 Appeal Initiated: Action Date: 10/09/2003   | Responsible Agency: STATE Sequence Number: 19  Identifier: 001 Branch: CO Total Final: \$20,00 Appeal Resolved: Identifier: 001  |
| violations in the p  Violation: Activity I  Sc  Former Citation -  Viol. Notes: Lack  Enforcement: A  Penalty Information:  Enforcement: A  Penalty Information:   | Location: NY heduled Compliance   SR - 373-3.10(c)(1) of PE certification and ctivity Location: NY                   | Type: 264.J Date: d assessment.  FA Requirement:  | Determined Date:09/26/2002 Actual Compliance Date: 05/24/2004  Type: 310 Agency: STATE Final Monetary: \$20,000 Disposition Status: Type: 210 Agency: STATE  | Determined by Agency: STATE RTC Qualifier: DOCUMENTED  Action Date: 05/24/2004 Responsible Person: ATYSH Collected: \$20,000 Appeal Initiated: Action Date: 10/09/2003 Responsible Person: NYAPR   | Responsible Agency: STATE Sequence Number: 19  Identifier: 001 Branch: CO Total Final: \$20,00 Appeal Resolved: Identifier: 001 Branch: CO   |
| violations in the p  Violation: Activity I Sc Former Citation - Viol. Notes: Lack Enforcement: A  Penalty Information:  Enforcement: A  Penalty Information:   | Location: NY heduled Compliance   SR - 373-3.10(c)(1) of PE certification and ctivity Location: NY                   | gotiations.  Type: 264.J Date: d assessment.  FA Requirement: 011018-2591 000 FA Requirement: | Determined Date:09/26/2002 Actual Compliance Date: 05/24/2004  Type: 310   | Determined by Agency: STATE RTC Qualifier: DOCUMENTED  Action Date: 05/24/2004 Responsible Person: ATYSH Collected: \$20,000 Appeal Initiated: Action Date: 10/09/2003 Responsible Person: NYAPR Collected:  | Responsible Agency: STATE Sequence Number: 19  Identifier: 001 Branch: CO Total Final: \$20,00 Appeal Resolved: Identifier: 001 Branch: CO Total Final:                                  |
| violations in the p  Violation: Activity I Sc Former Citation - Viol. Notes: Lack Enforcement: A  Penalty Information: Enforcement: A  Penalty Information: C Enforcement: C Enforcement: C Enforcement: C | Location: NY heduled Compliance   SR - 373-3.10(c)(1) of PE certification and ctivity Location: NY                   | Type: 264.J Date: d assessment.  FA Requirement: 011018-2591 000 FA Requirement: nt Order.    | Determined Date:09/26/2002 Actual Compliance Date: 05/24/2004  Type: 310 Agency: STATE Final Monetary: \$20,000 Disposition Status: Type: 210 Agency: STATE Final Monetary: Disposition Status:  Type: 210 Type: 210 | Determined by Agency: STATE RTC Qualifier: DOCUMENTED  Action Date: 05/24/2004 Responsible Person: ATYSH Collected: \$20,000 Appeal Initiated: Action Date: 10/09/2003 Responsible Person: NYAPR Collected: Appeal Initiated:  Action Date: 08/20/2003                           | Responsible Agency: STATE Sequence Number: 19  Identifier: 001 Branch: CO Total Final: \$20,00 Appeal Resolved: Identifier: 001 Branch: CO Total Final: Appeal Resolved: Identifier: 001 |
| violations in the p  Violation: Activity I Sc Former Citation - Viol. Notes: Lack Enforcement: A  Penalty Information: Enforcement: A  Penalty Information: C Enforcement: C Enforcement: C Enforcement: C | Location: NY heduled Compliance   SR - 373-3.10(c)(1) of PE certification and ctivity Location: NY                   | Type: 264.J Date: d assessment.  FA Requirement: 011018-2591 000 FA Requirement: 011018-2591  | Determined Date:09/26/2002 Actual Compliance Date: 05/24/2004  Type: 310   | Determined by Agency: STATE RTC Qualifier: DOCUMENTED  Action Date: 05/24/2004 Responsible Person: ATYSH Collected: \$20,000 Appeal Initiated: Action Date: 10/09/2003 Responsible Person: NYAPR Collected: Appeal Initiated:  Action Date: 08/20/2003 Responsible Person: NYAPR | Responsible Agency: STATE Sequence Number: 19  Identifier: 001 Branch: CO Total Final: \$20,00 Appeal Resolved: Identifier: 001 Branch: CO Total Final: Appeal Resolved:                 |
| violations in the p  Violation: Activity I Sc Former Citation - Viol. Notes: Lack Enforcement: A  Penalty Information: Enforcement: A  Penalty Information: C Enforcement: C Enforcement: C Enforcement: C | Location: NY heduled Compliance   SR - 373-3.10(c)(1) of PE certification and ctivity Location: NY                   | Type: 264.J Date: d assessment.  FA Requirement: 011018-2591 000 FA Requirement: 011018-2591  | Determined Date:09/26/2002 Actual Compliance Date: 05/24/2004  Type: 310 Agency: STATE Final Monetary: \$20,000 Disposition Status: Type: 210 Agency: STATE Final Monetary: Disposition Status:  Type: 210 Type: 210 | Determined by Agency: STATE RTC Qualifier: DOCUMENTED  Action Date: 05/24/2004 Responsible Person: ATYSH Collected: \$20,000 Appeal Initiated: Action Date: 10/09/2003 Responsible Person: NYAPR Collected: Appeal Initiated:  Action Date: 08/20/2003                           | Responsible Agency: STATE Sequence Number: 19  Identifier: 001 Branch: CO Total Final: \$20,00 Appeal Resolved: Identifier: 001 Branch: CO Total Final: Appeal Resolved: Identifier: 001 |

| Violation: Activity   | y Location:NY   | Type: 264.J  | Determined Date:09/26/2002   | Determined by Agency: STATE  | Responsible Agency: STATE  |
|---|---|--|--|--|--|
|   | Scheduled Compliance  | Date:  | Actual Compliance Date: 05/24/2004   | RTC Qualifier: DOCUMENTED  | Sequence Number: 20  |
|   | - SR - 373-3.10(e)(4)   |  |  |  |  |
|   | identification and lack   | of HW label  |  |  |  |
| Enforcement:  | Activity Location: NY   |  | Type: 310  | Action Date: 05/24/2004  | Identifier: 001  |
|   | Docket:   |  | Agency: STATE  | Responsible Person: ATYSH  | Branch: CO   |
| Penalty Informatio  | n: Proposed:  |  | Final Monetary: \$20,000   | Collected: \$20,000  | Total Final: \$20,00   |
|   | CA Component: N   | FA Requirement:  | Disposition Status:  | Appeal Initiated:  | Appeal Resolved:   |
| Enforcement:  | Activity Location: NY   |  | Type: 210  | Action Date: 10/09/2003  | Identifier: 001  |
|   | Docket: 3-200   | 011018-2591  | Agency: STATE  | Responsible Person: NYAPR  | Branch: CO   |
| Penalty Informatio  | n: Proposed: \$20,  | ,000   | Final Monetary:  | Collected:   | Total Final:   |
|   | CA Component: N   | FA Requirement:  | Disposition Status:  | Appeal Initiated:  | Appeal Resolved:   |
|   | f. Revised draft Conse  | nt Order.  |  |  |  |
| Enforcement:  | Activity Location: NY   |  | Type: 210  | Action Date: 08/20/2003  | Identifier: 001  |
|   | Docket: 3-200   |  | Agency: STATE  | Responsible Person: NYAPR  | Branch: CO   |
| Penalty Informatio  | n: Proposed: \$20,  | •  | Final Monetary:  | Collected:   | Total Final:   |
|   | CA Component: N   | FA Requirement:  | Disposition Status:  | Appeal Initiated:  | Appeal Resolved:   |
|   |   |  |  |  |  |
| violations in the   | e present settlement ne   | gotiations.  | <u> </u>   | 02 and further violations were identified. The D   |  |
| violations in the Violation: Activity   | e present settlement ne<br>y Location:NY  | gotiations.  Type: 262.A   | Determined Date:09/26/2002   | Determined by Agency: STATE  | Responsible Agency: STATE  |
| violations in the   | e present settlement ne<br>y Location:NY<br>Scheduled Compliance  | gotiations.  Type: 262.A  Date:  | <u> </u>   |  | <u> </u>   |
| violations in the  Violation: Activity  Former Citation   | e present settlement ne<br>y Location:NY<br>Scheduled Compliance<br>n - SR - 374-3.2(e)(5)/3  | gotiations.  Type: 262.A  Date: 74-3.3(f)(3)   | Determined Date:09/26/2002   | Determined by Agency: STATE  | Responsible Agency: STATE  |
| violations in the  Violation: Activity  Former Citation  Viol. Notes: Mir   | e present settlement ne<br>y Location:NY<br>Scheduled Compliance<br>n - SR - 374-3.2(e)(5)/3<br>nor universal waste lam   | gotiations.  Type: 262.A  Date: 74-3.3(f)(3)   | Determined Date:09/26/2002<br>Actual Compliance Date: 05/24/2004   | Determined by Agency: STATE<br>RTC Qualifier: DOCUMENTED   | Responsible Agency: STATE<br>Sequence Number: 23   |
| violations in the  Violation: Activity  Former Citation   | e present settlement ne<br>y Location:NY<br>Scheduled Compliance<br>n - SR - 374-3.2(e)(5)/3  | gotiations.  Type: 262.A  Date: 74-3.3(f)(3)   | Determined Date:09/26/2002<br>Actual Compliance Date: 05/24/2004   | Determined by Agency: STATE RTC Qualifier: DOCUMENTED  Action Date: 05/24/2004   | Responsible Agency: STATE  |
| violations in the  Violation: Activit  Former Citation  Viol. Notes: Mir  Enforcement:  | e present settlement ne<br>y Location:NY<br>Scheduled Compliance<br>I - SR - 374-3.2(e)(5)/3<br>nor universal waste lam<br>Activity Location: NY<br>Docket:   | gotiations.  Type: 262.A  Date: 74-3.3(f)(3)   | Determined Date:09/26/2002 Actual Compliance Date: 05/24/2004  Type: 310 Agency: STATE   | Determined by Agency: STATE RTC Qualifier: DOCUMENTED  Action Date: 05/24/2004 Responsible Person: ATYSH   | Responsible Agency: STATE Sequence Number: 23  Identifier: 001 Branch: CO  |
| violations in the  Violation: Activity  Former Citation  Viol. Notes: Mir   | e present settlement ne y Location:NY Scheduled Compliance n - SR - 374-3.2(e)(5)/3 nor universal waste lam Activity Location: NY Docket: n: Proposed:  | gotiations.  Type: 262.A  Date: 74-3.3(f)(3)  nps violations   | Determined Date:09/26/2002 Actual Compliance Date: 05/24/2004  Type: 310 Agency: STATE Final Monetary: \$20,000  | Determined by Agency: STATE RTC Qualifier: DOCUMENTED  Action Date: 05/24/2004 Responsible Person: ATYSH Collected: \$20,000   | Responsible Agency: STATE Sequence Number: 23  Identifier: 001 Branch: CO Total Final: \$20,00   |
| violations in the  violation: Activit  Former Citation  Viol. Notes: Mir  Enforcement:  Penalty Informatio  | e present settlement ne<br>y Location:NY<br>Scheduled Compliance<br>I - SR - 374-3.2(e)(5)/3<br>nor universal waste lam<br>Activity Location: NY<br>Docket:   | gotiations.  Type: 262.A  Date: 74-3.3(f)(3)   | Determined Date:09/26/2002 Actual Compliance Date: 05/24/2004  Type: 310 Agency: STATE   | Determined by Agency: STATE RTC Qualifier: DOCUMENTED  Action Date: 05/24/2004 Responsible Person: ATYSH   | Responsible Agency: STATE<br>Sequence Number: 23   |
| violations in the  violation: Activit  Former Citation  Viol. Notes: Mir  Enforcement:  Penalty Informatio  | e present settlement ne y Location:NY Scheduled Compliance n - SR - 374-3.2(e)(5)/3 nor universal waste lam Activity Location: NY Docket: n: Proposed: CA Component: N  | gotiations.  Type: 262.A  Date: 74-3.3(f)(3)  nps violations  FA Requirement:  | Determined Date:09/26/2002 Actual Compliance Date: 05/24/2004  Type: 310 Agency: STATE Final Monetary: \$20,000 Disposition Status:  | Determined by Agency: STATE RTC Qualifier: DOCUMENTED  Action Date: 05/24/2004 Responsible Person: ATYSH Collected: \$20,000 Appeal Initiated:   | Responsible Agency: STATE Sequence Number: 23  Identifier: 001 Branch: CO Total Final: \$20,00 Appeal Resolved:  |
| violations in the  Violation: Activit  Former Citation  Viol. Notes: Mir  Enforcement:  | e present settlement ne y Location:NY Scheduled Compliance a - SR - 374-3.2(e)(5)/3 nor universal waste lam Activity Location: NY Docket: n: Proposed: CA Component: N Activity Location: NY Docket: 3-200  | gotiations.  Type: 262.A Date: 74-3.3(f)(3) ps violations  FA Requirement: 011018-2591                                 | Determined Date:09/26/2002 Actual Compliance Date: 05/24/2004  Type: 310 Agency: STATE Final Monetary: \$20,000 Disposition Status: Type: 210  | Determined by Agency: STATE RTC Qualifier: DOCUMENTED  Action Date: 05/24/2004 Responsible Person: ATYSH Collected: \$20,000 Appeal Initiated: Action Date: 10/09/2003   | Responsible Agency: STATE Sequence Number: 23  Identifier: 001 Branch: CO Total Final: \$20,00 Appeal Resolved: Identifier: 001  |
| violations in the  Violation: Activity  Former Citation  Viol. Notes: Mir  Enforcement:  Penalty Informatio  Enforcement:                                     | e present settlement ne y Location:NY Scheduled Compliance a - SR - 374-3.2(e)(5)/3 nor universal waste lam Activity Location: NY Docket: n: Proposed: CA Component: N Activity Location: NY Docket: 3-200 n: Proposed: \$20,   | gotiations.  Type: 262.A Date: 74-3.3(f)(3) ps violations  FA Requirement: 011018-2591                                 | Determined Date:09/26/2002 Actual Compliance Date: 05/24/2004  Type: 310   | Determined by Agency: STATE RTC Qualifier: DOCUMENTED  Action Date: 05/24/2004 Responsible Person: ATYSH Collected: \$20,000 Appeal Initiated: Action Date: 10/09/2003 Responsible Person: NYAPR Collected:  | Responsible Agency: STATE Sequence Number: 23  Identifier: 001 Branch: CO Total Final: \$20,00 Appeal Resolved: Identifier: 001 Branch: CO Total Final:                                  |
| violations in the  Violation: Activit  Former Citation Viol. Notes: Mir  Enforcement:  Penalty Informatio  Enforcement:  Penalty Informatio                   | e present settlement ne y Location:NY Scheduled Compliance a - SR - 374-3.2(e)(5)/3' nor universal waste lam Activity Location: NY Docket: n: Proposed: CA Component: N Activity Location: NY Docket: 3-206   | gotiations.  Type: 262.A Date: 74-3.3(f)(3) nps violations  FA Requirement: 011018-2591 000 FA Requirement:            | Determined Date:09/26/2002 Actual Compliance Date: 05/24/2004  Type: 310 Agency: STATE Final Monetary: \$20,000 Disposition Status:  Type: 210 Agency: STATE   | Determined by Agency: STATE RTC Qualifier: DOCUMENTED  Action Date: 05/24/2004 Responsible Person: ATYSH Collected: \$20,000 Appeal Initiated: Action Date: 10/09/2003 Responsible Person: NYAPR   | Responsible Agency: STATE Sequence Number: 23  Identifier: 001 Branch: CO Total Final: \$20,00 Appeal Resolved: Identifier: 001 Branch: CO   |
| violations in the  Violation: Activit  Former Citation Viol. Notes: Mir  Enforcement:  Penalty Informatio  Enforcement:  Penalty Informatio                   | e present settlement ne y Location:NY Scheduled Compliance 1 - SR - 374-3.2(e)(5)/3 nor universal waste lam Activity Location: NY Docket: n: Proposed: CA Component: N Activity Location: NY Docket: 3-20( n: Proposed: \$20, CA Component: N   | gotiations.  Type: 262.A Date: 74-3.3(f)(3) nps violations  FA Requirement: 011018-2591 000 FA Requirement:            | Determined Date:09/26/2002 Actual Compliance Date: 05/24/2004  Type: 310   | Determined by Agency: STATE RTC Qualifier: DOCUMENTED  Action Date: 05/24/2004 Responsible Person: ATYSH Collected: \$20,000 Appeal Initiated: Action Date: 10/09/2003 Responsible Person: NYAPR Collected:  | Responsible Agency: STATE Sequence Number: 23  Identifier: 001 Branch: CO Total Final: \$20,00 Appeal Resolved: Identifier: 001 Branch: CO Total Final:                                  |
| violations in the  Violation: Activit  Former Citation Viol. Notes: Mir  Enforcement:  Penalty Informatio  Enforcement:  Penalty Informatio  Enf. Notes: tsdf | e present settlement ne y Location:NY Scheduled Compliance n - SR - 374-3.2(e)(5)/3 nor universal waste lam Activity Location: NY Docket: n: Proposed: CA Component: N Activity Location: NY Docket: 3-200 n: Proposed: \$20, CA Component: N f. Revised draft Conse  | gotiations.  Type: 262.A Date: 74-3.3(f)(3) nps violations  FA Requirement: 011018-2591 ,000 FA Requirement: nt Order. | Determined Date:09/26/2002 Actual Compliance Date: 05/24/2004  Type: 310   | Determined by Agency: STATE RTC Qualifier: DOCUMENTED  Action Date: 05/24/2004 Responsible Person: ATYSH Collected: \$20,000 Appeal Initiated: Action Date: 10/09/2003 Responsible Person: NYAPR Collected: Appeal Initiated:                          | Responsible Agency: STATE Sequence Number: 23  Identifier: 001 Branch: CO Total Final: \$20,00 Appeal Resolved: Identifier: 001 Branch: CO Total Final: Appeal Resolved:                 |
| violations in the  Violation: Activit  Former Citation Viol. Notes: Mir  Enforcement:  Penalty Informatio  Enforcement:  Penalty Informatio  Enf. Notes: tsdf | e present settlement ne y Location:NY Scheduled Compliance 1 - SR - 374-3.2(e)(5)/3 nor universal waste lam Activity Location: NY Docket: In: Proposed: CA Component: N Activity Location: NY Docket: 3-20( In: Proposed: \$20, CA Component: N  f. Revised draft Conse Activity Location: NY Docket: 3-20( In: Proposed: \$20, CA Component: N In: Revised draft Conse | gotiations.  Type: 262.A Date: 74-3.3(f)(3) ps violations  FA Requirement: 011018-2591 000 FA Requirement: nt Order.   | Determined Date:09/26/2002 Actual Compliance Date: 05/24/2004  Type: 310 Agency: STATE Final Monetary: \$20,000 Disposition Status: Type: 210 Agency: STATE Final Monetary: Disposition Status:  Type: 210 Type: 210 | Determined by Agency: STATE RTC Qualifier: DOCUMENTED  Action Date: 05/24/2004 Responsible Person: ATYSH Collected: \$20,000 Appeal Initiated: Action Date: 10/09/2003 Responsible Person: NYAPR Collected: Appeal Initiated:  Action Date: 08/20/2003 | Responsible Agency: STATE Sequence Number: 23  Identifier: 001 Branch: CO Total Final: \$20,00 Appeal Resolved: Identifier: 001 Branch: CO Total Final: Appeal Resolved: Identifier: 001 |

| PERA, DIVISION RUTHE                                 | RFORD CHEMICAL  | S LL, NY                    | D002014595, HARR                            | IMAN, NY, c       | continued    | -                                       |                    |               |                                    |    |
|--|---|-----------------------------|---|-------------------|--------------|---|--------------------|---------------|------------------------------------|----|
| Violation: Activity Location                         | n:NY Type: 264.O  | )                           | Determined Dat                              | e:09/26/2002      | Determin     | ned by Agency: ST.                      | ATE                | Responsit     | ole Agency: STATE                  |    |
| Schedule   | d Compliance Date:  |                             | Actual Compliance Dat                       | e: 05/24/2004     |              | RTC Qualifier: DC                       | CUMENTED           | Sequen        | ce Number: 24                      |    |
| Former Citation - SR - M                             | odule VIII C.D(1)   |                             |   |                   |              |   |                    |               |                                    |    |
|  | to perform semi-annual ca                                   | alibration of               | the cyano pyridine residu                   | e temperature r   | monitor.     |   |                    |               |                                    |    |
| Enforcement: Activity L                              | ocation: NY   |                             | 71  | e: 310            |              | Action Date: 05/                        | 24/2004            |               | Identifier: 001                    |    |
|  | Docket:   |                             | Agenc                                       | y: STATE          | Respo        | onsible Person: AT                      | YSH                |               | Branch: CO                         |    |
| Penalty Information: Pr                              | roposed:  |                             | Final Monetar                               | y: \$20,000       |              | Collected: \$2                          | 0,000              |               | Total Final: \$20,00               | 00 |
| CA Con   | nponent: N FA Rec   | quirement:                  | Disposition Statu                           | s:                | Α            | ppeal Initiated:                        |                    | Appea         | l Resolved:                        |    |
| Enforcement: Activity L                              | ocation: NY   |                             | Тур   | e: 210            |              | Action Date: 10/                        | 09/2003            | •             | Identifier: 001                    |    |
|  | Docket: 3-20011018-2591                                     | 1                           | Agenc                                       | y: STATE          | Respo        | onsible Person: NY                      | APR                |               | Branch: CO                         |    |
| Penalty Information: Pr                              | roposed: \$20,000   |                             | Final Monetar                               | y:                |              | Collected:                              |                    |               | Total Final:                       |    |
| CA Con   | nponent: N FA Rec   | quirement:                  | Disposition Statu                           | s:                | Α            | Appeal Initiated:                       |                    | Appea         | l Resolved:                        |    |
| Enf. Notes: tsdf. Revise                             |   |                             |   |                   |              |   |                    |               |                                    |    |
| Enforcement: Activity L                              | ocation: NY   |                             | ,,  | e: 210            |              | Action Date: 08/                        |                    |               | Identifier: 001                    |    |
|  | Docket: 3-20011018-2591                                     | 1                           | · ·   | y: STATE          | Respo        | onsible Person: NY                      | APR                |               | Branch: CO                         |    |
| Penalty Information: Pr                              | roposed: \$20,000   |                             | Final Monetar                               | y:                |              | Collected:                              |                    |               | Total Final:                       |    |
| CA Con   | nponent: N FA Rec   | quirement:                  | Disposition Statu                           | s:                | Α            | ppeal Initiated:                        |                    | Appea         | l Resolved:                        |    |
| Enf. Notes: tsdf. A subset violations in the present | equent inspection of the factorial settlement negotiations. | cility was co               | onducted by Department                      | staff in Sept. 20 | 02 and furth | er violations were                      | identified. The De | pt. incorpora | ted these additiona                | al |
| Violation: Activity Location                         | n:NY Type: 264.B  |                             | Determined Dat                              | e:09/26/2002      | Determin     | ned by Agency: ST.                      | ATE                | Responsib     | ole Agency: STATE                  |    |
|  | d Compliance Date:  |                             | Actual Compliance Dat                       | e: 05/24/2004     |              | RTC Qualifier: DC                       |                    |               | ce Number: 25                      |    |
| Former Citation - SR - M                             | odule VII, 3-3.28(a)(2)(3)(e to mark each piece of equ      |                             | ·   |                   |              |   |                    |               |                                    |    |
|  | ocation: NY   | dipinioni 3di               |   | e: 310            |              | Action Date: 05/                        | 24/2004            |               | Identifier: 001                    |    |
|  | Docket:   |                             | ,,  | y: STATE          | Respo        | onsible Person: AT                      |                    |               | Branch: CO                         |    |
| Penalty Information: Pr                              | oposed:   |                             | Final Monetar                               | •                 | тоорс        | Collected: \$2                          |                    |               | Total Final: \$20,00               | າດ |
| -  |   | quirement:                  | Disposition Statu                           | •                 | ٨            | Appeal Initiated:                       | .0,000             |               | Resolved:                          | ,, |
|  | ocation: NY   | quirement.                  | -1  | e: 210            | A            | Action Date: 08/                        | (20/2003           | Appea         | Identifier: 001                    |    |
| Emorcement. Activity                                 | Docket: 3-20011018-2591                                     | 1                           | ,,  | y: STATE          | Doene        | onsible Person: NY                      |                    |               | Branch: CO                         |    |
| Danalty Information                                  |   | ı                           | ŭ   | •                 | Nespe        | Collected:                              | AFIX               |               | Total Final:                       |    |
| •  | oposed: \$20,000  |                             | Final Monetar                               | •                 |              |   |                    |               |                                    |    |
| Enf. Notes: tsdf. A subse                            | equent inspection of the fac                                | quirement:<br>cility was co | Disposition Statu<br>enducted by Department |                   |              | Appeal Initiated:<br>er violations were | identified. The De |               | I Resolved:<br>ted these additiona | al |
| violations in the present                            | settlement negotiations.                                    |                             |   |                   |              |   |                    |               |                                    |    |
| Evaluation 10/30/2001                                | Activity Location:  | NY By:                      | STATE                                       | Identifier:       | 001 Per      | rson: NYPAN                             | Suborganization:   | CO            | Found Violation:                   | N  |
| NOC Date:  | Citizen Complaint:  | NO Mul                      | timedia Inspection: NO                      | Sampling:         | NO Not       | t Subtitle C: NO                        | Day Zero:          |               | Focus Area:                        |    |
| I. Notes: Incinerator inspection                     | •   |                             | ,   | , ,               |              |   | ·                  |               |                                    |    |
| No Linked Violations                                 |   |                             |   |                   |              |   |                    |               |                                    |    |
| Evaluation 09/25/2001                                | Activity Location:  | NY By:                      | STATE                                       | Identifier:       | 001 Per      | rson: NYWBY                             | Suborganization:   | R3            | Found Violation:                   | N  |
| NOC Date:  | Citizen Complaint:  | NO Mul                      | timedia Inspection: NO                      | Sampling:         | NO Not       | t Subtitle C: NO                        | Day Zero:          |               | Focus Area:                        |    |

| NEPERA, DIVISION                           | RUTHERFO  | ORD CHEMICA                              | LS LL, I                | NYD002014595, HAR                      | RRIMAN, NY,                             | continued -             |  |                               |        |   |     |
|--|---|--|-------------------------|--|---|-------------------------|--|-------------------------------|--------|---|-----|
| No Linked Violation                        |   |  | <u> </u>                |  |   |                         |  |                               |        |   |     |
| FRR Evaluation 08  NOC Date: Eval. Notes:  |   | Activity Location:<br>Citizen Complaint: |                         | By: STATE<br>Multimedia Inspection: NO |   | 001 Person<br>NO Not Su | : NYJMI<br>btitle C: NO                          | Suborganization:<br>Day Zero: | СО     | Found Violation:<br>Focus Area:                       | NC  |
| No Linked Violation                        | s   |  |                         |  |   |                         |  |                               |        |   |     |
| NOC Date:                                  |   | Activity Location:<br>Citizen Complaint: |                         | By: STATE Multimedia Inspection: NC    |   | 001 Person<br>NO Not Su | : NYPAN  | Suborganization:<br>Day Zero: | СО     | Found Violation:<br>Focus Area:                       | YES |
|  | ity Location:NY<br>Scheduled Cor<br>n - SR - Permit | mpliance Date:                           | .0                      | Determined D<br>Actual Compliance D    | oate:12/21/2000<br>ate:05/24/2004       |                         | oy Agency: S<br>C Qualifier: D                   | TATE<br>OCUMENTED             |        | le Agency: STATE<br>e Number:15                       | Ē   |
| Enforcement:  Penalty Information          | Activity Locati<br>Dockon: Propos                   | ket:                                     |                         | Age                                    | ype: 310<br>ncy: STATE<br>ary: \$20,000 |                         | ction Date: 05<br>ble Person: A<br>Collected: \$ | TYSH                          | -      | Identifier: 001<br>Branch: CO<br>Total Final: \$20,00 | 00  |
|  | CA Compone  | ent: N FA R                              | equireme                |  |   | 1.1.                    | al Initiated:                                    |                               | Appeal | Resolved:   |     |
| Enforcement:                               | Activity Locati<br>Dock                             | ion: NY<br>ket: 3-20011018-25            | 91                      |  | ype: 210<br>ncy: STATE                  |                         | ction Date: 10<br>ole Person: N                  |                               |        | Identifier: 001<br>Branch: CO                         |     |
| Penalty Information                        | on: Propos  | sed: \$20,000                            |                         | Final Monet                            | ary:                                    |                         | Collected:                                       |                               | -      | Total Final:  |     |
| Enf Notes ted                              | CA Compone  | ent: N FA R<br>ft Consent Order.         | equireme                | nt: Disposition Sta                    | tus:                                    | Appe                    | al Initiated:                                    |                               | Appeal | Resolved:   |     |
| Enforcement:                               | Activity Locati                                     |  |                         | Ty                                     | ype: 210                                | A                       | ction Date: 08                                   | 8/20/2003                     |        | Identifier: 001                                       |     |
|  | Docl  | ket: 3-20011018-25                       | 91                      | Age                                    | ncy: STATE                              | Responsi                | ole Person: N                                    | YAPR                          |        | Branch: CO  |     |
| Penalty Information                        | on: Propos  | sed: \$20,000                            |                         | Final Monet                            | ary:                                    |                         | Collected:                                       |                               | -      | Total Final:  |     |
| Enf. Notes: tsd                            | CA Compone  |  | equireme<br>facility wa | nt: Disposition Sta                    |   |                         | al Initiated:<br>iolations were                  | e identified. The Der         |        | Resolved:   | al  |
| violations in the                          | e present settle                                    | ement negotiations.                      |                         | •                                      | •                                       |                         |  | ·                             |        |   |     |
| Enforcement:                               | Activity Locati                                     | ion: iv t<br>ket: 3-20011018-25          | 0                       | •                                      | ype: 210<br>ncy: STATE                  |                         | ction Date: 10<br>ole Person: A                  |                               |        | Identifier: 001<br>Branch: CO                         |     |
|  | CA Compone  |  | equireme                |  | •                                       | •                       | al Initiated:                                    | 1130                          | Appeal | Resolved:   |     |
| CEI Evaluation 09  NOC Date:  Eval. Notes: |   | Activity Location:<br>Citizen Complaint: |                         | By: STATE<br>Multimedia Inspection: NO |   | 001 Person<br>NO Not Su | : NYWBY<br>btitle C: NO                          | Suborganization:<br>Day Zero: | R3     | Found Violation:<br>Focus Area:                       | YE  |
| Violation: Activit                         | ty Location:NY<br>Scheduled Cor                     | Type: 264<br>mpliance Date: 11/1         |                         | Determined D<br>Actual Compliance D    | eate:09/28/2000<br>ate:11/03/2000       |                         | oy Agency: S <sup>-</sup><br>C Qualifier: O      |                               |        | le Agency: STATE<br>e Number:14                       | Ē   |
| VIOL NOLES.                                |   |  |                         | т.                                     | ype: 120                                | ^                       | ation Date: 10                                   | 0/16/2000                     |        | Identifier: 001                                       |     |
| Enforcement:                               | Activity Locati Dock                                |  |                         |  | ncy: STATE                              |                         | ction Date: 10<br>ble Person:                    | 0/10/2000                     |        | Branch:   |     |

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| NEPERA, DIVIS                              | SION RUTHER                     | RFORD CHEMICA                            | LS LL    | , NYD002014595, HARF                   | RIMAN, NY,               | contin    | ued -                                |                     |           |                                    |     |
|--|---------------------------------|--|----------|--|--------------------------|-----------|--------------------------------------|---------------------|-----------|------------------------------------|-----|
| CEI Evaluation  NOC Date Eval. Notes: CL 1 |                                 | Activity Location:<br>Citizen Complaint: | NY<br>NO | By: STATE<br>Multimedia Inspection: NO | Identifier:<br>Sampling: | 000<br>NO | Person: NYWB' Not Subtitle C: No     | <b>3</b>            | R3        | Found Violation:<br>Focus Area:    | YES |
| Violation:                                 | Activity Location:              | Compliance Date: 06/2                    |          | Determined Da<br>Actual Compliance Dat |                          | Det       | ermined by Agency:<br>RTC Qualifier: | STATE<br>DOCUMENTED |           | ole Agency: STATE<br>ce Number: 13 |     |
| Enforcement:                               | ,                               |  |          | ,,                                     | e: 310                   |           | Action Date:                         |                     |           | Identifier: 001                    |     |
|  |                                 | Docket:                                  |          | •                                      | y: STATE                 | F         | Responsible Person:                  |                     |           | Branch: R3                         |     |
| Penalty Info                               |                                 | posed:                                   |          | Final Monetar                          | •                        |           | Collected:                           | \$17,500            |           | Total Final: \$17,50               | 01  |
|  | CA Comp                         | onent: N FA Re                           | equiren  | nent: Disposition Statu                | S:                       |           | Appeal Initiated:                    |                     | Appea     | I Resolved:                        |     |
| SNY Evaluation                             | 09/23/1999                      | Activity Location:                       | NY       | By: STATE                              | Identifier:              | SNC       | Person: NYDE                         | Suborganization:    |           | Found Violation:                   | NO  |
| NOC Date                                   | e:                              | Citizen Complaint:                       | NO       | Multimedia Inspection: NO              | Sampling:                | NO        | Not Subtitle C: No                   | Day Zero:           |           | Focus Area:                        |     |
| No Linked Vid                              | olations                        |  |          |  |                          |           |                                      |                     |           |                                    |     |
| CEI Evaluation                             | 02/25/1999                      | Activity Location:                       | NY       | By: EPA                                | Identifier:              | 000       | Person: R2AH                         | J Suborganization:  | RCB       | Found Violation:                   | NO  |
| NOC Date                                   | <b>e</b> :                      | Citizen Complaint:                       | NO       | Multimedia Inspection: NO              | Sampling:                | NO        | Not Subtitle C: No                   |                     |           | Focus Area:                        |     |
| Eval. Notes: NO V                          | IOLATIONS.                      |  |          |  |                          |           |                                      |                     |           |                                    |     |
| No Linked Vid                              | olations                        |  |          |  |                          |           |                                      |                     |           |                                    |     |
| CEI Evaluation                             | 09/29/1998                      | Activity Location:                       | NY       | By: STATE                              | Identifier:              | 000       | Person: NYWB                         | Suborganization:    | R3        | Found Violation:                   | YES |
| NOC Date                                   | <b>e</b> :                      | Citizen Complaint:                       | NO       | Multimedia Inspection: NO              | Sampling:                | NO        | Not Subtitle C: No                   | Day Zero:           |           | Focus Area:                        |     |
| Violation:                                 | Activity Location:              | NY Type: 264.                            | E        | Determined Da                          | te:10/16/1998            | Det       | ermined by Agency:                   | STATE               | Responsib | ole Agency: STATE                  |     |
|  | Scheduled                       | Compliance Date: 11/1                    | 6/1998   | Actual Compliance Dat                  | e: 11/27/1998            |           | RTC Qualifier:                       | OBSERVED            | Sequenc   | ce Number: 12                      |     |
| Enforcement:                               |                                 |  |          | ,,                                     | e: 120                   |           | Action Date:                         |                     |           | Identifier: 000                    |     |
|  |                                 | Docket:                                  |          | •                                      | y: STATE                 | F         | Responsible Person:                  | NYWBY               |           | Branch: R3                         |     |
|  | CA Comp                         | onent: N FA R                            | equiren  | nent: Disposition Statu                | S:                       |           | Appeal Initiated:                    |                     | Appea     | I Resolved:                        |     |
| CEI Evaluation                             | 03/30/1998                      | Activity Location:                       | NY       | By: EPA                                | Identifier:              | 000       | Person: R2AH                         | J Suborganization:  | RCB       | Found Violation:                   | NO  |
| NOC Date                                   | * <del>*</del>                  | Citizen Complaint:                       | NO       | Multimedia Inspection: NO              | Sampling:                | NO        | Not Subtitle C: No                   | Day Zero:           |           | Focus Area:                        |     |
|  |                                 | from U to N for EVAL                     | DATE     | lt 11-01-1998, AGY=E                   |                          |           |                                      |                     |           |                                    |     |
| No Linked Vid                              | olations                        |  |          |  |                          |           |                                      |                     |           |                                    |     |
| CEI Evaluation                             | 09/30/1997                      | Activity Location:                       | NY       | By: STATE                              | Identifier:              | 000       | Person: NYWB                         | J                   | R3        | Found Violation:                   | YES |
| NOC Date                                   | e:                              | Citizen Complaint:                       | NO       | Multimedia Inspection: NO              | Sampling:                | NO        | Not Subtitle C: No                   | Day Zero:           |           | Focus Area:                        |     |
| Violation:                                 | Activity Location:<br>Scheduled | NY Type: 268.<br>Compliance Date: 11/1   |          | Determined Da<br>Actual Compliance Dat |                          | Det       | ermined by Agency:<br>RTC Qualifier: |                     |           | ole Agency: STATE<br>ce Number:11  |     |
| Enforcement                                |                                 |  |          | •                                      | e: 120                   |           | Action Date:                         |                     |           | Identifier: 000                    |     |
|  |                                 | Docket:                                  |          |  | y: STATE                 | F         | Responsible Person:                  | NYWBY               |           | Branch: R3                         |     |
|  | CA Comp                         | onent: N FA Re                           | equiren  | nent: Disposition Statu                | s:                       |           | Appeal Initiated:                    |                     | Appea     | l Resolved:                        |     |

| NEPERA, DIVISIO   | N RUTHER                         | FORD CHEMICAL  | S LL               | , NYD002014595, HAF  | RRIMAN, NY,                              | contin           | ued -   |                               |           |  |     |
|---|----------------------------------|--|--------------------|--|--|------------------|---|-------------------------------|-----------|--|-----|
| CEI Evaluation<br>NOC Date:<br>Eval. Notes: SUBPAR<br>No Linked Violati |                                  | Activity Location:<br>Citizen Complaint:<br>CINERATOR. NO VIO              | NY<br>NO<br>DLATIC | By: EPA<br>Multimedia Inspection: No<br>DNS FOUND.                     |  | 000<br>NO        | Person: R2AHJ<br>Not Subtitle C: NO   | Suborganization:<br>Day Zero: | RCB       | Found Violation:<br>Focus Area:  | NC  |
| CEI Evaluation  NOC Date:   | 09/19/1996                       | Activity Location: Citizen Complaint:                                      | NY<br>NO           | By: STATI Multimedia Inspection: No                                    |  | 000<br>NO        | Person: NYWBY Not Subtitle C: NO  | Suborganization:<br>Day Zero: | R3        | Found Violation:<br>Focus Area:  | YES |
| Violation: Act  | Activity Loc                     | Compliance Date: 11/03<br>cation: NY<br>locket:                            |                    | Actual Compliance E<br>T<br>Age  | ype: 120<br>ncy: STATE                   |                  | ermined by Agency: S<br>RTC Qualifier: O<br>Action Date: 1<br>Responsible Person: N<br>Appeal Initiated:  | BSERVED<br>0/03/1996          | Sequen    | ole Agency: STATE<br>ce Number: 10<br>Identifier: 000<br>Branch: R3<br>I Resolved: |     |
| CEI Evaluation  NOC Date:  Eval. Notes: INCINER  No Linked Violati      |                                  | Activity Location:<br>Citizen Complaint:<br>SD INSPECTION                  | NY<br>NO           | By: EPA<br>Multimedia Inspection: No                                   |  | 022<br>NO        | Person: R2AHJ<br>Not Subtitle C: NO   | Suborganization:<br>Day Zero: | RCB       | Found Violation:<br>Focus Area:  | NC  |
| CEI Evaluation  NOC Date:   | 09/27/1995                       | Activity Location:<br>Citizen Complaint:                                   | NY<br>NO           | By: STATI<br>Multimedia Inspection: NO                                 |  | 000<br>NO        | Person: NYWBY<br>Not Subtitle C: NO   | Suborganization:<br>Day Zero: | R3        | Found Violation:<br>Focus Area:  |     |
|   | TIME EXT 01/<br>Activity Loc     | Compliance Date: 09/19<br>12/95; 3/15/96; 8-1-96<br>cation: NY<br>ocket:   |                    | Actual Compliance D<br>T<br>Age  | ype: 120<br>ncy: STATE                   |                  | ermined by Agency: S<br>RTC Qualifier: O<br>Action Date: 10<br>Responsible Person: N<br>Appeal Initiated: | D/20/1995                     | Sequen    | ole Agency: STATE<br>ce Number: 9<br>Identifier: 000<br>Branch: R3<br>I Resolved:  |     |
| NOC Date:<br>Eval. Notes: MULTI M                                       | tivity Location:                 | Activity Location: Citizen Complaint:  NY Type: 264 Compliance Date: 12/18 |                    | By: STATI Multimedia Inspection: No  Determined I  Actual Compliance D | O Sampling:<br>Date:09/13/1994           | 000<br>NO<br>Det | Person: NYWBY Not Subtitle C: NO ermined by Agency: S RTC Qualifier: O                                    |                               | Responsit | Found Violation: Focus Area:  ble Agency: STATE ce Number:8                        |     |
| Viol. Notes: Enforcement: Penalty Informa                               | M2P2 WAITIN<br>Activity Loo<br>D | G FOR COPY OF CO<br>cation: NY<br>ocket:<br>posed:                         |                    | REG<br>T<br>Age<br>Final Mone  | ype: 310<br>ncy: STATE<br>tary: \$50,000 | Ī                | Action Date: 0<br>Responsible Person: N<br>Collected: \$<br>Appeal Initiated:                             | 8/18/1997<br>YWBY             | · ·       | Identifier: 000<br>Branch: R3<br>Total Final: \$50,00                              | 00  |
| CEI Evaluation  NOC Date:   | 02/14/1994                       | Activity Location:<br>Citizen Complaint:                                   | NY<br>NO           | By: EPA<br>Multimedia Inspection: No                                   |  | 000<br>NO        | Person: R2AHJ<br>Not Subtitle C: NO   | Suborganization:<br>Day Zero: | RCB       | Found Violation:<br>Focus Area:  | NC  |

| NEPERA, DIVIS   | ION RUTHER   | RFORD CHEMICA   | LS LL, NY        | D002014595, HARF                        | RIMAN, NY,               | contin    | ued -                 |                             |                               |       |                                  |     |
|---|--|---|------------------|---|--------------------------|-----------|-----------------------|-----------------------------|-------------------------------|-------|----------------------------------|-----|
| No Linked Vio   | lations  |   |                  |   |                          |           |                       |                             |                               |       |                                  |     |
| CEI Evaluation  NOC Date: Eval. Notes: NO VI  No Linked Vio | OLATION THAN                                       | Activity Location:<br>Citizen Complaint:<br>NK YOU LETTER 6/30/ |                  | STATE timedia Inspection: NO            | Identifier:<br>Sampling: | 000<br>NO | Person:<br>Not Subtit | NYWME<br>tle C: NO          | Suborganization:<br>Day Zero: | R3    | Found Violation:<br>Focus Area:  | NO  |
| CEI Evaluation  NOC Date: Eval. Notes: BIF                  | 09/02/1992   | Activity Location:<br>Citizen Complaint:                        | NY By:<br>NO Mul | STATE timedia Inspection: NO            | Identifier:<br>Sampling: | 000<br>NO | Person:<br>Not Subtit | NYDS<br>tle C: NO           | Suborganization:<br>Day Zero: | R3    | Found Violation:<br>Focus Area:  | YES |
|   | Activity Location:<br>Scheduled<br>es: TIME EXT GR | Compliance Date: 06/1   |                  | Determined Dat<br>Actual Compliance Dat |                          | Dete      | ermined by A          | Agency: ST<br>Qualifier: OE |                               |       | ole Agency: STATE<br>ce Number:6 |     |
| Enforcement:  |  | cation: NY<br>locket:   |                  | **                                      | e: 120<br>y: STATE       |           | Action Responsible    | on Date: 01                 |                               |       | Identifier: 000<br>Branch: R3    |     |
|   | CA Comp  |   | equirement:      | Disposition Statu                       | •                        | г         | Appeal                |                             | D3                            | Appea | I Resolved:                      |     |
|   | Activity Location: Scheduled es: TIME EXT GF       | Compliance Date: 06/1   |                  | Determined Dat<br>Actual Compliance Dat |                          | Dete      | ermined by A          | Agency: ST<br>Qualifier: OE |                               | •     | ole Agency: STATE<br>ce Number:7 |     |
| Enforcement:  |  |   |                  | ,,                                      | e: 120                   |           | Actio                 | on Date: 01                 | /22/1993                      |       | Identifier: 000                  |     |
|   | CA Comp  | Oocket:<br>onent: N FA Re                                       | equirement:      | Agend<br>Disposition Statu              | y: STATE<br>s:           | F         | Responsible<br>Appeal | Person: NY<br>Initiated:    | ÓS                            | Appea | Branch: R3<br>I Resolved:        |     |
| CEI Evaluation NOC Date:                                    | 07/02/1991   | Activity Location:<br>Citizen Complaint:                        | NY By:<br>NO Mul | STATE timedia Inspection: NO            | Identifier:<br>Sampling: | 000<br>NO | Person:<br>Not Subtit | NYPAN<br>tle C: NO          | Suborganization:<br>Day Zero: | R3    | Found Violation:<br>Focus Area:  | YES |
| Violation:  | Activity Location:<br>Scheduled                    | NY Type: 264.<br>Compliance Date: 10/2                          |                  | Determined Dat<br>Actual Compliance Dat | e: 10/24/1991            | Dete      | ermined by A<br>RTC ( | Agency: ST<br>Qualifier: OE |                               |       | ole Agency: STATE<br>ce Number:5 |     |
| Enforcement:  |  | cation: NY<br>Jocket:   |                  | Agend                                   | e: 120<br>y: STATE       | F         | Responsible           |                             |                               |       | Identifier: 000<br>Branch: R3    |     |
|   | CA Comp  | onent: N FA R   | equirement:      | Disposition Statu                       | s:                       |           | Appeal                | Initiated:                  |                               | Appea | l Resolved:                      |     |

Total Number of Handlers: 1
Total Number of Activity Locations: 1

\*\*\* End of Report \*\*\*